

## **THE GENERAL HEALTH FILING CHECKLIST**

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| ( ) 806 KAR 14:007<br>Section 4(2)   | Has the filing fee been paid? (Check for retaliatory amount)  |
| ( ) 806 KAR 14:007<br>Section 2(1)   | Has a completed and signed HIPMC-F1 “face sheet and verification form” been filed?  |
| ( ) 806 KAR 14:007<br>Section 2(8)   | Submittal letter on company letterhead must be included.  |
| ( ) 806 KAR 14:007<br>Section 2(10)  | If a filing entity files a form containing variable text, an explanation of each variation the insurer proposes to use must be submitted.   |
| ( ) 806 KAR 14:007<br>Section 6      | <p>If form amends, supplements, or replaces a previously filed form, the submittal letter must explain:</p> <ul style="list-style-type: none"><li>1) All changes contained in the newly filed form</li><li>2) The form being replaced</li><li>3) The date the replaced form was approved, withdrawn or submitted</li><li>4) The effect the changes have upon the policy or applicable rates</li></ul> |
| ( ) 806 KAR 14:007<br>Section 2(2)   | Has transmittal form HIPMC-F2 been signed and completed for certifications?   |
| ( ) 806 KAR 14:007<br>Section 2(4)   | Have transmittals for HIPMC-RF-25, F-35, and F-37 been signed and completed for approvals?  |
| ( ) KRS 304.14-020                   | Have all riders, endorsements, and papers which are parts of contract been submitted for approval?  |
| ( ) 806 KAR 14:121<br>KRS 304.14-440 | Minimum Flesch Score – 40 (does not apply to applications)  |
| ( ) KRS 304.14-170                   | <p>The charter, bylaws or other constituent documents of the insurer should not be included in the policy<br/>(Does not apply to Fraternal Benefit Society filings.)</p>  |